



RECEIVED
FEB 03 2003
Sheet 1 of 1
GROUP 3600

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1095.1120	APPLICATION NO. 09/302825
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		FIRST NAMED INVENTOR Kiyoshi MIYAZAKI	
		FILING DATE February 11, 2000	GROUP ART UNIT Unassigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

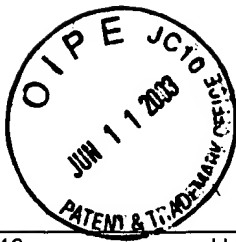
FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
HTD	AG	WO 96/41293	12/19/96	PCT				
	AH							
	AI							
	AJ							
	AK							
	AL							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	Kagawa, et al., Institute for Financial Affairs, Inc., New Dictionary of Financial Practices, p. 63, left-hand column, lines 18-26, dated 7/10/95 – Translation attached.
	AN	Japanese Abstract No. JP 10-011497, dated 1/16/98

EXAMINER HTDass	DATE CONSIDERED 8/14/03
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

1095.1120

APPLICATION NO.

09/502,825

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

FIRST NAMED INVENTOR

Kiyoshi MIYAZAKI et al.

FILING DATE

February 11, 2000

GROUP ART UNIT

3628

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
HTD	AA	5,168,445	12/1/1992	Kawashima et al.			
	AB	5,655,088	8/5/1997	Midorikawa et al.			
	AC	5,687,323	11/11/1997	Hodroff			
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
HTD	AG	0 401 203 A2 ✓	12/5/1990	Europe			X	
HTD	AH	WO 98/28900	7/2/1998	PCT International			Abs.	
	AI							
	AJ							
	AK							
	AL							

RECEIVED

JUN 13 2003

GROUP 3600

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION
YES NO

	AM			
--	----	--	--	--

EXAMINER

Harish Dass

DATE CONSIDERED

8/14/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.